Arkansas State Board of Pharmacy
322 South Main Street, Suite 600 Little Rock, AR 72201
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asbp@arkansas.gov • www.pharmacyboard.arkansas.gov
John Clay Kirtley, Pharm.D., Executive Director

Application for Wholesale Distributor of List I Chemicals Permit

PART I: GENERAL INFORMATION

Business Name:

DBA or name that will appear on your permit if different from Business Name above:

Employer Identification Number:

Physical Address of Applicant:

Street:

City: State: Zip:

Telephone Number: Fax Number:

Website:

Mailing Address (Complete this section ONLY if different from the physical address above.):

Street or P.O. Box:

City: State: Zip:

Person with whom the Board of Pharmacy may communicate regarding this application:

Name: Position:

Telephone: Email:

Type of Business (check all that apply):

☐ Manufacturer ☐ Repacker ☐ Warehouser ☐ Hospital Pharmacy
☐ Wholesale Distributor ☐ Jobber ☐ Retail Pharmacy ☐ Other✳

✳If Other, please provide a description of your operation on a separate sheet.

Methods of Distribution (check all that apply):

☐ Products shipped directly to retail outlets or institutions
☐ Products shipped directly to veterinarians
☐ Products shipped to distributors, wholesalers, repackers, jobbers
☐ Reverse distribution
☐ Other (Please explain on a separate sheet.)

Types of List I Chemicals Distributed (check all that apply):

☐ Solid oral dosage units (such as tablets or powder-filled capsules)
☐ Liquid filled dosage units (such as syrups or liquid-filled capsules)

Classes of List I Chemicals Distributed (check all that apply): ☐ Human ☐ Veterinary

Is this business registered with the DEA as a retail distributor of List I Chemical or Schedule V controlled substances?	☐ YES	☐ NO
DEA Number:	☐ Applied For	☐ Not Needed
Name of DEA Registrant:		
Are you shipping Ultram or Nubane?	☐ YES	☐ NO

FOR OFFICE USE ONLY

License #:LC_____ Date Issued: _____ Fee Submitted: _____ Check No.:_____

Is this application made as a result of a change of ownership? If Yes, what is the name of the facility licensed by the Arkansas Board of Pharmacy? _____ What is the permit number? _____ What is the expected closing date of the sale? _____ Who was the previous owner?	☐ YES	☐ NO
Has the applicant ever been licensed in Arkansas?	☐ YES	☐ NO
Does this business conduct operations at more than one location that ships List I chemicals into Arkansas?	☐ YES	☐ NO
If Yes, are all facilities licensed in Arkansas?	☐ YES	☐ NO

PART II: APPLICANT HISTORY

Please answer each of the following questions by putting a check (√) in the appropriate box on the right. You must answer each question with a "Yes" or "No" response as no other response is acceptable. All "Yes" answers MUST be explained in detail in a separate SIGNED and NOTARIZED affidavit. The affidavit should include all relevant dates, and identify the relevant jurisdiction and/or entity involved. Failure to disclose any of the requested information may result in the denial of your application or other appropriate action.

NOTE: If you answer "Yes" to any of the questions below and you have already submitted a detailed affidavit to the Arkansas State Board of Pharmacy explaining your response you need not submit another detailed affidavit. Please note the date of your previous submission next to the applicable question(s).

Is the applicant currently under investigation in any state in which it is licensed?	☐ YES	☐ NO
Has the applicant ever been the subject of disciplinary action or been sanctioned by any licensing authority?	☐ YES	☐ NO
Is there any disciplinary action pending against the applicant by any licensing jurisdiction, the USDA, Drug Enforcement Agency or any state drug enforcement authority?	☐ YES	☐ NO
Has the applicant ever been convicted of violating any federal, state or local law related to List I chemicals or controlled substances?	☐ YES	☐ NO
Has the applicant ever been convicted of violating any federal, state, or local law related to the practice of pharmacy?	☐ YES	☐ NO
Have any of the applicant owners, officers, directors, or stockholders ever been convicted of a felony or crime involving the practice of pharmacy? (If the business is a corporation, you need not include stockholders in this question unless they currently serve as officers or directors of the applicant business, or own more than twenty percent (20%) of the company stock.)	☐ YES	☐ NO
Has any sanction or disciplinary action been taken regarding any license, permit or registration issued to the applicant, officers, directors, partners or stockholders involving drug distribution? (If the business is a corporation, you need not include stockholders in this question unless they currently serve as officers or directors of the applicant business, or own more than twenty percent (20%) of the company stock.)	☐ YES	☐ NO
Are there any charges pending against the applicant, officers, directors, partners or stockholders involving drug distribution? (If the business is a corporation, you need not include stockholders in this question unless they currently serve as officers or directors of the applicant business, or own more than twenty percent (20%) of the company stock.)	☐ YES	☐ NO
Has the DEA registration as a List I chemical distributor ever been revoked, suspended, or surrendered?	☐ YES	☐ NO

PART III: BUSINESS OWNERSHIP

Select the appropriate form of ownership from the choices below, and then go to the next appropriate section.

☐ Sole Proprietorship (Go to A)	☐ General Partnership (Go to B)
☐ Corporation (Go to C)	☐ Limited Partnership (Go to B)
☐ LLC (Go to C)	☐ LLP (Go to B)
☐ Other (Please explain)	

A. Please provide the name, and the address of the owner of this company:

Go to Item D.

B. Partnership Name, if different from Applicant name listed on Page 1.

In the space provided below, please provide the names, addresses and percentage ownership of all partners/members. You may attach a list of partners/members if there is not enough space.

Go to Item D.

C. Corporation Name, if different from Applicant name listed on Page 1.

☐ Check if Subchapter S Corporation State of Incorporation/Formation:

Is this corporation publicly traded? ☐ YES ☐ NO

Is this corporation a wholly owned subsidiary of another company or corporation? ☐ YES ☐ NO

What is the name of the parent company?

Please provide the names, addresses and percentage ownership of all of the owners of this corporation. You may use a separate sheet if you need more space.

Go to Item D.

D. Please provide the names and titles of the officers or directors of this company.

President: _____

Vice President: _____

Secretary: _____

Treasurer: _____

Specify additional titles below: _____

_____

_____

If you need additional space for the corporate officer list, please attach the list as a separate document.

PART IV: DOCUMENTATION

Attach copies of the following documents to this application, or an explanation of why these documents are not included:

- If the applicant is not located in Arkansas, **a copy of the license/permit issued by the state in which the applicant is located**. If you do not have a license in your home state, please provide a statement from your State Board of Pharmacy stating that you are not required to be licensed.
- If the applicant is not located in Arkansas, **a copy of the latest inspection report** of the facility issued by the regulatory agency that performs such inspections in the state in which the business is located. If the facility has never been inspected, a statement from the applicant stating that the facility has never been inspected.
- Copies of all **federal licenses** or permits.
- A **current certificate of insurance** for this facility issued by your insurance agent, showing your product liability insurance, or general liability insurance if you do not carry product liability insurance. Do not send a copy of the policy – just the certificate of insurance.

PART V: APPLICATION FEE

Check **one** of the following options:

☐ **This is a new permit application.**

What is the date this application will be submitted to the Arkansas State Board of Pharmacy?
Add thirty days. What is the new date?_____

If this date falls in an even-numbered year (2020, 2022, etc.), the fee is $300.00
If this date falls in an odd-numbered year (2021, 2023, etc.), the fee is $450.00

☐ **This is a change of ownership of a current permit holder.**

The fee for a change of ownership is $150.00.

Please Note: The Arkansas Wholesale Distributor of List I Chemicals Permit is a biennial permit and expires on December 31st of even-numbered years. If a permit is issued during an even-numbered year it will be up for renewal later that year. Check your application to make sure it is complete and you have included all required documentation. Incomplete applications will delay processing. Your application will expire 1 year from date of receipt. Application fees will not be refunded.

PART VI: CERTIFICATION

Please read carefully and sign below.

I swear, or affirm that all statements made herein and on the attached forms are true and correct. All of the provisions of Arkansas laws and regulations related to the distribution of List I chemicals in Arkansas will be faithfully observed during the period any permit issued may be in force and effect.

I swear and affirm that I know where to locate the statutes and regulations related to the distribution of List I chemicals in Arkansas. (They are available online at the Arkansas State Board of Pharmacy website in the Pharmacy Lawbook section under the Uniform Controlled Substances Act § 5-64-1005 et seq and Regulations 08-00-0001 through 08-00-0014.)

I also affirm that the applicant will: employ adequate personnel with the education and experience necessary to safely and lawfully engage in the wholesale distribution of List I chemicals; meet the minimum requirements for the storage and handling of List I chemicals specified in Regulation 08-02-0006; identify suspicious orders as described in Regulation 08-02-000; comply with all applicable federal, state and local laws and regulations; notify the Arkansas State Board of Pharmacy if any information contained in this application changes within thirty (30) days of the change.

By virtue of filing this application, I do solemnly swear or affirm that I am of good moral character, and that I understand the instructions and terms as set forth in this application form, that I have personally completed this form, that the information given in this application is true, correct and complete to the best of my knowledge. I authorize the Arkansas State Board of Pharmacy to review files pertaining to this application and related documents, and all law enforcement records, administrative records, and court documents to confirm the accuracy and completeness of the information provided herein. This application and signature shall act as authorization for entities in possession of applicable information to release such information to the Arkansas State Board of Pharmacy.

Signature of Owners/Representative: _____

Print the name of the Owner/Representative: _____

Position : _____ Date: _____

Checks should be made payable to: Arkansas State Board of Pharmacy.

Return the completed application and all related documents and fees to:
Arkansas State Board of Pharmacy
322 South Main Street, Suite 600
Little Rock, AR 72201

Phone: 501-682-0190
Email: asbp@arkansas.gov
Website: www.pharmacyboard.arkansas.gov